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30 ROCKEFELLER PLAZA
NEW YORK, NEW YORK 10112-0228

212 705-5000
FACSIMILE 212 705-5020

Appln. Trans.
PATENT

A

UTILITY PATENT
APPLICATION
TRANSMITTAL

(Only for new nonprovisional
applications under 37 CFR 1.53(b))

Attorney Docket No. A32113

First Named Inventor Walter Brieden

Express Mail Label No. EJ 407 829 521 US

jc549 ULS. PTO
09/198427
11/24/98

November 24, 1998

BY EXPRESS MAIL

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

Sir:

Enclosed herewith for filing is a patent application of
Walter Brieden, Brig, Switzerland
Josef Schröer, Susten, Switzerland
Christine Bernegger-Egli, Münster, Switzerland
Eva Maria Urban, Visp, Switzerland
Michael Petersen, Visp, Switzerland
Jean-Paul Roduit, Grône, Switzerland
Katja Berchtold, Baltschieder, Switzerland
Holger Breitbach, Baltschieder, Switzerland

entitled

PROCESS FOR THE PREPARATION OF AMINOALCOHOL DERIVATIVES AND
THEIR FURTHER CONVERSION TO (1R,4S)-4-((2-AMINO-6-CHLORO-5-
FORMAMIDO-4-PYRIMIDINYL)-AMINO)-2-CYCLOPENTENYL-1-METHANOL

which includes:

| | |
|---|--------------------------|
| <input checked="" type="checkbox"/> Specification | <u>45</u> Total Pages |
| <input checked="" type="checkbox"/> Claims | <u>6</u> Total Pages |
| <input checked="" type="checkbox"/> Abstract | <u>2</u> Total Pages |
| <input type="checkbox"/> Drawing(s) | <u> </u> Total Sheets |
| - formal | |
| - informal | |

Attorney Docket No. A32113

- ☐ Combined Declaration and Power of Attorney Total Pages
- ☐ Newly executed (original or copy)
- ☐ Copy from a prior application
(for continuation/divisional only - **must be filed to avoid surcharge for late filing**)

If a continuing application, check appropriate box:

- ☐ Continuation ☐ Divisional ☐ Continuation-In-Part (CIP)
of prior application No.

- ☐ Amend the specification by inserting, before the first line, the following sentence:

"This is a ☐ continuation ☐ divisional ☐ continuation-in-part
of copending application Serial No. filed ."

- ☒ An Assignment of the invention to Lonza AG
☐ is attached. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
☒ will follow.
☐ has been filed in the prior application

- ☐ Small Entity Statement(s)
☐ Small Entity Statement filed in prior application. Status still proper and desired.

- ☐ Information Disclosure Statement (IDS) PTO-1449
☐ Copies of IDS Citations.

- ☒ Preliminary Amendment

- ☒ Return Receipt Postcard

- ☐ Other

- ☐ Cancel in this application original claims of the prior application before calculating the filing fee.

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The filing fee has been calculated as shown below:

| <u>FOR</u> | (Col. 1) <u>No. Filed</u> | (Col. 2) <u>No. Extra</u> | Small Entity <u>Rate</u> | <u>Fee</u> | OR | Other Than A Small Entity <u>Rate</u> | <u>Fee</u> |
|--------------------------|------------------------------|------------------------------|-----------------------------|------------|----|---|----------------|
| Basic Fee | | | | \$380 | | | \$760 |
| Total Claims | 18 | -20 = 0 | x \$9 = | \$0 | | x \$18 = | \$0 |
| Ind. Claims | 9 | -3 = 0 | x \$39 = | \$0 | | x \$78 = | \$468 |
| Multiple Dependent Claim | | | + \$130 = | \$0 | | +\$260 = | \$260 |
| | | | Total | — | | | <u>\$1,488</u> |

* If the difference in Col. 1 is less than zero, enter "0" in Col. 2.

Fee Payment Being Made:

☒ Enclosed

☒ Filing fee \$1,488.00

☐ Recording Assignment
[\$40.00; 37 CFR 1.21(h)]

Total Fees Enclosed \$1,488.00

☒ A check in the amount of \$1,488.00 to cover filing fee is enclosed.

Priority

☒ Priority of applications

Country Switzerland, Appl. No. 2739/97 filed 11-27-97

Country Switzerland, Appl. No. 2781/97 filed 12-03-97

Country Switzerland, Appl. No. 0133/98 filed 01-21-98

Country Switzerland, Appl. No. 0723/98 filed 03-27-98

Country Europe, Appl. No. 98118895.6 filed 10-07-98

is claimed under 35 U.S.C. 119.

☒ Certified Copies of the corresponding Priority Documents

☒ is/are attached ☐ will follow ☐ has been filed in the parent application S/N _.

Attorney Docket No. A32113

- [X] The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR 1.16, 1.17, and 1.21(h) associated with this communication or credit any overpayment to Deposit Account No. 02-4377. Two copies of this sheet are enclosed.

BAKER & BOTTS, L.L.P.

By Peter A. Businger
Peter A. Businger
PTO Registration No. 27,862

Enclosures

0910343-12498
Baker & Botts, L.L.P.